

Privacy Policy

Your Privacy is Important to Us

We are committed to maintaining the confidentiality, integrity and security of your personal information. When you provide personal information, we believe that you should be aware of our policies to protect the confidentiality of that information.

We collect non-public personal information about you from the following sources:

- On applications or other forms when you establish your working relationship with RNP Advisory Services, Inc.
- Through transactions in your accounts with us or with others through us.

We use this information in our efforts to serve you more effectively and provide you with accurate reporting of your account activity. We also monitor this activity to insure that it is in line with your goals and objectives.

We may disclose non-public personal information about you to the following types of third parties upon request and with your approval:

- Financial service providers, such as mortgage bankers, securities firms and broker-dealers, and insurance companies that assist us in managing your account;
- Non affiliated third parties as permitted by law such as regulatory or law enforcement agencies or that you have approved in writing.

We do not engage in any cross marketing activities with affiliated or non-affiliated companies. We do not sell customer lists.

We restrict access to non-public personal information about you to those employees with a legitimate business need for the information. We maintain physical, electronic and procedural safeguards that comply with federal standards to guard your non-public personal information.

We appreciate the trust and confidence that you place in RNP Advisory Services, Inc.. We will continue to strive to protect your privacy and to help you achieve and maintain your financial goals and objectives.

RNP
REINHARDT NEWQUIST POTTS
RNP ADVISORY SERVICES INC.
A REGISTERED INVESTMENT ADVISOR

Code of Ethics

Preamble: Those engaged in offering investments and other related financial services occupy the unique position of liaison between the purchasers and the providers of investment products and related financial products. Inherent in this role is the combination of professional duty to the client and to the company, as well. Ethical balance is required to avoid any conflict between these two obligations. Therefore,

WE BELIEVE IT IS OUR RESPONSIBILITY

To hold our profession in high esteem and strive to enhance its prestige.

To fulfill the needs of our clients to the best of our ability.

To earn and maintain our clients' confidence.

To render exemplary service to our clients.

To adhere to professional standards of conduct in helping our clients attain their financial security objectives.

To present accurately and honestly all facts essential to our clients' decisions.

To continually improve our skills and enhance our knowledge through continuing education.

To conduct our business in such a way that our example might help raise the professional standards of those in our profession.

To keep informed with respect to applicable laws and regulations and observe them in the practice of our business.

To cooperate with others whose services are constructively related to meeting the needs of our clients.

*Adopted January, 2006
RNP Advisory Services, Inc.
A Registered Investment Advisor*