RNP Advisory Services, Inc.
A Registered Investment Advisor

Privacy Notice & Code of Ethics

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We Take Important Steps to Safeguard Your Personal Information

RNP Advisory Services, Inc. ("RNP") is committed to maintaining the confidentiality, integrity and security of your personal information. This notice describes the personal information we collect, how we handle it and the policies to protect it.

Why and How We Collect Personal Information

We collect personal information to enable us to provide products and services to you and to improve and conduct our business. For example, we collect personal information to:

Establish and administer your account(s);

Process your requests and transactions; and

Provide you with effective and efficient client service.

To conduct regular business, we collect information from a variety of sources, including:

Account applications, government issued photo identification card, and other forms that you provide to us, which provide information such as your name, address, e-mail address, telephone number, date of birth, Social Security number or other tax identification number, assets, and income;

Your transactions with RNP, which provide current investment and current balances;

and Information provided by your professional advisor(s) that may include other investment accounts or tax information.

Who Has Access to Personal Information

Only those RNP employees who need to have access to personal information to perform their jobs are authorized to access your personal information. These employees may need access to your personal information to conduct business on your behalf, service your account(s), help you and your advisor pursue your financial goals, and to conduct our business.

How We Protect Personal Information

RNP employees who have access to your personal information are required to maintain and protect the confidentiality of the information and must follow established procedures. To comply with applicable federal and state laws and regulations, we will maintain physical, electronic and procedural safeguards to guard your personal information.

How We Use and Share Information

RNP shares personal information solely to service our client accounts; we do not disclose any personal information about our clients or former clients to anyone, except as permitted by law.

We will not use or share information in a manner that requires us to provide an opt-out opportunity. As such, you will not be provided with the opportunity to opt out.

To provide the utmost in service, we may disclose the following information regarding clients and/or former clients, as necessary, to companies to perform certain services on our behalf or to other financial institutions with whom we have joint agreements, or as required by law:

> Information the firm receives from clients on applications, such as name, Social Security number, address and assets;
>
> Information about client transactions with our firm or others, such as account information, payment history and parties to transactions.

At times, we may disclose and /or share personal information to nonaffiliated third parties, as described below.

Sharing with Nonaffiliated Third Parties

We do not and will not sell your personal information to anyone, and we make every effort to maintain your confidentiality. We do not share personal information with third parties unless one of the following limited exceptions applies:

> To provide a service that a client has requested or

authorized, or to maintain and service a client account;

To comply with regulatory requirements or law enforcement agencies, or as otherwise required by any applicable law;

To enable companies we have hired to help process or service your transactions or account(s), including vendors that provide transaction processing and account statement processing.

Accessing and Revising Your Personal Information

We strive to keep our client information complete and accurate. We encourage you to review information in your account statements and notify us if you believe any information should be corrected or updated.

The practices and policies contained in this Privacy Notice replace all previous notices or statements with respect to the same subject. We reserve the right to change this Privacy Notice and any of the policies and procedures listed herein at any time. As part of our normal business practices, we distribute this notice annually or when significant changes are made to it.

If you have questions about your personal information or the Privacy Notice, please contact us at:

RNP Advisory Services, Inc.
17190 Monterey Street, Suite 202
Morgan Hill, CA 95037
(800)-700-5255

Code of Ethics

RNP Advisory Services, Inc. is a registered investment advisor with the Securities and Exchange Commission ("SEC"). The SEC requires us to maintain records and adopt policies and procedures reasonably necessary to prevent violations of the Investment Advisor's Act of 1940 and other applicable federal rules and regulations. The Code of Ethics is intended to assist our firm in maintaining such records and disseminate to our Advisors our policies and procedures and to state our broader policies regarding RNP's commitment to clients. If you would like to receive a copy of our Code of ethics, please contact our office.

In this Privacy Policy, the words "you" and "client" are used to indicate any individual or business who obtains or has obtained a financial product or services from RNP. The words "personal information" are used to indicate any non public information that identifies you or your accounts.

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